

 <p>Financial Assistance Award</p> <p>DENALI COMMISSION 510 L Street, Suite 410 Anchorage, Alaska 99501 (907) 271-1414 (phone) (907) 271-1415 (fax) www.denali.gov</p>		Award Number	01559-00		
		Award Title	Backhaul Alaska Steering Committee		
		Performance Period	November 1, 2018 through September 30, 2019		
Authority 112 Stat 1854	CFDA Number 90.100	Recipient Organization & Address Alaska Native Tribal Health Consortium Inc. 4000 AMBADOR DR ANCHORAGE, AK 99508-5928 Phone: [NO DATA] Recipient DUNS # 071375658 TIN # 920162721			
Denali Commission Finance Officer Certification	Ms. Elizabeth Flowers 01/09/2019				
Cost Share Distribution Table					
Accounting Code	New Funding		Prior Period Funding		Total
	Denali Commission	Other Contributors	Denali Commission	Other Contributors	
95670000	\$50,000.00		\$0.00		\$50,000.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
	\$0.00		\$0.00		\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
		\$0.00		\$0.00	\$0.00
Total	\$50,000.00	\$0.00	\$0.00	\$0.00	\$50,000.00
This Financial Assistance Award approved by the Federal Co-Chair of the Denali Commission constitutes an obligation of federal funding.					
Signature of Authorized Official - Denali Commission Electronically Signed		Typed Name and Title Mr. Jay Farmwald Director of Programs		Date 01/09/2019	

AWARD ATTACHMENTS

Alaska Native Tribal Health Consortium Inc.

01559-00

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1. Terms and Conditions
 2. Attachment A
 3. Budget and Funding Summary

Financial Assistance Award Terms and Conditions
Between the Denali Commission and Alaska Native Tribal Health Consortium
(ANTHC)
For the Coordination of the Backhaul Alaska Steering Committee (BASC)
Award No. 1559

1. Project Summary

- a. Scope of Work: Initiate and convene a Backhaul Alaska Steering Committee.
- b. Deliverables: Written Steering Committee charter, member list, meeting schedule, meeting agendas, and meeting notes.
- c. Budget: The Commission is making \$50,000 available for the project via this Financial Assistance Award (FAA). This amount includes all direct, indirect, and pre-award costs (if any) authorized pursuant to 2 CFR 200.458. All Commission funding is intended to be used for the scope of work identified in this FAA only. Any funds remaining after the full scope of work has been completed shall be returned to the Denali Commission consistent with 2 CFR 200.345.
- d. Delivery Method: All tasks will be carried out by ANTHC in-house staff including convening the committee meetings and preparing and submitting the deliverable documents.
- e. Performance Period: The Period of Performance for this FAA is November 1, 2018 through September 30, 2019. In accordance with 2 CFR 200.309 Recipients can only incur obligations or costs against this FAA during the Period of Performance, unless specifically authorized in the Special Provisions (Section 14 of this document). If a project cannot be completed within the approved Period of Performance, an extension request must be made in accordance with the Commission's *Recipient Guidelines and Requirements* (RGR) document dated July 2015, available at www.denali.gov.

More detailed information on scope, deliverables, budget, funding, project delivery method and/or management plan, schedule and key milestones are included in Attachment A and dated November 19, 2018.

2. Project Reporting and Commission Site Visits

Progress reports and a close-out report are required under this FAA. Progress Reports shall be submitted at the frequency stipulated in the Special Provisions. The Closeout Report shall be completed within 90-days of the end of the Period of Performance. All reports must be submitted using the Denali Commission's on-line Project Database System, available at www.denali.gov/dcpdb.

Commission staff or agents of the Commission may make visits to the project site and/or home office to monitor progress during and/or after the Period of Performance. The Recipient shall coordinate and make information available as necessary to facilitate any such site visits.

Refer to the *Recipient Guidelines and Requirements* document for further information related to reports and site visits.

3. Payments

Payments under this FAA will be made in accordance with 2 CFR 200.305 by electronic transfer in response to a Standard Form 270 (SF-270) "Request for Advance or Reimbursement", submitted by the Recipient. At a minimum, all 270's must include summary cost information on wages, travel, materials/supplies, contracts/consultants, and indirect costs. Detailed documentation is required for any single expenditure greater than \$50,000. If Pre-award Costs and/or Advance Payments are authorized under this FAA, the Special Provisions will indicate so. Requests for reimbursements may be made as needed. Refer to the *Recipient Guidelines and Requirements* document for further information about submitting SF-270's.

4. Modifications

In accordance with 2 CFR 200.308, the Recipient shall report deviations in project scope, budget, delivery method, management plan, schedule, or changed site conditions, and request prior approvals from the Program Manager. The Recipient shall also submit written requests to the Program Manager for the replacement of Key Staff identified in the Special Provisions. Refer to the *Recipient Guidelines and Requirements* document for further information about modifying a Financial Assistance Award.

5. Financial Management, Record Keeping, Internal Controls, and Audits

The Recipient must have financial management and record keeping systems that are consistent with 2 CFR 200.302. If the Recipient is a State organization it must expend and account for FAA funds in accordance with applicable State laws and procedures for expending and accounting for the State's own funds.

The Recipient must establish and maintain effective internal controls with respect to this FAA that are consistent with 2 CFR 200.303.

In accordance with 2 CFR 200.501, Recipients that expend \$750,000 or more of federal funds in a year shall have a single or program-specific audit conducted for that year.

Refer to the *Recipient Guidelines and Requirements* document for further information about financial management, record keeping, internal controls and audits.

6. Direct and Indirect Costs

All direct costs must be allowable and reasonable. Indirect costs must be allocable based on accepted accounting policies and practices. Indirect costs must be specifically included as a line item or identified with appropriate notes in the approved FAA budget. Refer to 2 CFR 200 Subpart E (Cost Principles) and the *Recipient Guidelines and Requirements* document for further information on direct and indirect costs.

7. Sub-Awards and Contracts

All sub-awards and contracts issued by the Recipient under this FAA must comply with 2 CFR 200.331 and Appendix II to 2 CFR 200. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

8. Acknowledgement of Support

The Recipient shall name the Denali Commission as a financial contributor and project/program partner in all media correspondence related to the work supported by this FAA. If Commission funds are used for construction, the Recipient shall also display a sign at the construction site that acknowledges the Commission's support. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

9. Real Property and Equipment

The Disposition requirements and restrictions set forth in 2 CFR 200.310 through 200.316 apply, unless specifically altered in the Special Provisions to this FAA.

Any Real Property reporting requirements that apply in accordance with 2 CFR 200.329 will be stipulated in the Special Provisions to this FAA.

10. Conflict of Interest

In accordance with 2 CFR 200.112, the Recipient must disclose in writing any potential conflicts of interest to the Commission. In addition, 2 CFR 200.318 requires that Recipients maintain written standards of conduct covering conflicts of interest and governing the performance of their employees engaged in the selection, award, and administration of contracts. Refer to the *Recipient Guidelines and Requirements* document for further information on this subject.

11. Denali Commission Policies

Recipients may be required to comply with certain published Denali Commission policies which are listed in the *Recipient Guidelines and Requirements* document. Any specific requirements or deliverables related to these policies will be stipulated in the Special Provisions to this FAA.

12. Laws and Regulations

Recipients are required to comply with all applicable Federal laws and regulations. General categories of potentially applicable laws and regulations are summarized below. Refer to the *Recipient Guidelines and Requirements* document for further information on specific laws and regulations that may apply.

- a. Debarment and Suspension
- b. Whistle-blower Protection
- c. Non-Discrimination
- d. Lobbying and Propaganda
- e. Environmental
- f. Drug-Free Workplace
- g. Travel
- h. Human Rights
- i. Animal Welfare
- j. Executive Compensation

13. Other Provisions

- a. The United States expressly disclaims any and all responsibility or liability to the Recipient or sub-recipients for the actions of the Recipient or sub-recipients resulting in death, bodily injury, property damages, or any other losses resulting in any way from the performance of this FAA, including sub-awards, contracts, or sub-contracts issued in connection with this FAA.
- b. To the maximum extent practicable, considering applicable laws, the Recipient shall accomplish the project contemplated by this FAA using local Alaska firms and labor.
- c. All terms and conditions contained in this FAA apply to any sub-recipient under this FAA.
- d. Failure to comply with the provisions of this FAA or maintain satisfactory performance may result in additional FAA conditions pursuant to 2 CFR 207. This includes but is not limited to: temporarily withholding of payments pending the correction of the deficiency; disallowance of project costs; wholly or partially suspending or terminating the FAA. In addition, failure to comply with the provisions of this FAA may also have a negative impact on the Recipient's eligibility for future Federal awards.

14. Special Provisions

Progress Reports: Shall be submitted on a quarterly basis. The first reporting period is 01 November 2018 to 31 December 2018, and quarterly thereafter in accordance with the Commission's Recipient Guidelines and Requirements.

Pre-award Costs: Back to 1 November 2018.

Advance Payments: No advance payments are allowable.

Program Manager, Financial Manager & Other Contact Information

Denali Commission	Recipient
Kristen Arnold Program Manager 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-5239 Fax: 907-271-1415 E-mail: karnold@denali.gov	Desirae Roehl Project Manager 4000 Ambassador Dr, Anchorage, AK 99508 Phone: 907-729-3496 Fax: 907- 729-2890 E-mail: droehl@anthc.org
Janet Davis Grants Management Officer 510 L Street, Suite 410 Anchorage, AK 99501 Phone: 907-271-3036 Fax: 907-271-1415 E-mail: jdavis@denali.gov	Financial Contact Sherry Lestenkof 4000 Ambassador Drive C-FIN, Anchorage, Alaska 99508 Phone: 907-729-4484 Fax: 907- 729-2890 E-mail: salestenkof@anthc.org

Key Staff:

Desirae Roehl, Program Manager | droehl@anthc.org | 907-729-3496

Denali Commission Policies: n/a

NEPA: CATEX – A.7. in 45 CFR 900 Appendix A; no further NEPA documentation is required.

Cooperative Agreement: n/a

Equipment Disposition: n/a

Insurance: n/a

Bonds: n/a

Ownership and Site Control: n/a

Davis Bacon Wages: n/a

Climate Change: n/a

Real Property Disposition: n/a

Real Property Reporting: n/a

**Financial Assistance Award 1559
ATTACHMENT A
19 November 2018**

Scope of Work

ANTHC will convene and coordinate meetings for a new Backhaul Alaska Steering Committee (BASC). The purpose of the committee will be to provide guidance on aspects of the Backhaul Alaska program during the pilot phase and in preparation for full implementation after the pilot phase is complete. Membership of the BASC will include representation from all the entities that will contract, be served, or otherwise partner with the Backhaul Alaska program, including but not limited to, representatives of transportation carriers, recyclers, waste management firms, the Alaska Department of Environmental Conservation Solid Waste Program, Environmental Protection Agency Indian General Assistance Program, regional organizations, tribes, municipalities, and non-profits.

ANTHC staff will work with the Solid Waste Alaska Taskforce, the Environmental Protection Agency, and others to collect information needed to develop a draft BASC Charter, identify candidate Steering Committee members, and develop meeting agendas. Once candidate members have been identified, ANTHC staff will conduct introductory phone calls with each candidate to extend an invitation for their participation in the BASC and to assess the candidate's interest. Meetings will be held at least once a quarter, or more frequently as needed by the Backhaul Alaska program. Meetings will be scheduled to generally span either 90 or 120 minutes and will be conducted over conference call and webinar and allow for in person attendance for those located in Anchorage. At the first meeting of the BASC, the Committee will review and discuss the draft BASC Charter with an intent to finalize the Charter shortly after the meeting. ANTHC staff will facilitate each meeting, record notes of the meeting, and distribute the notes to BASC members within two weeks of each meeting.

The desired outcome following each BASC meeting is for the Backhaul Alaska project team to have concrete recommendations on how to design and best implement specific aspects of the Backhaul Alaska program.

Delivery Method

The scope of work will be performed by ANTHC in-house staff. All activities, including travel, will be managed by ANTHC staff.

Deliverables

- 1) Steering Committee Member List
- 2) Steering Committee Charter
- 3) Steering Committee Meeting Schedule
- 4) Steering Committee Meeting Agendas
- 5) Steering Committee Meeting Notes

Budget

A detailed budget and funding summary are presented on page 3.

Schedule

The award Period: November 1, 2018 to September 30, 2019. Key milestones are summarized below.

Award 1559 – Attachment A
 ANTHC – Backhaul Alaska Steering Committee

MILESTONE	DUE DATE	OUTPUTS AND DELIVERABLES
ANTHC staff will work with the Solid Waste Alaska Taskforce (SWAT) and EPA to outline the desired one-year (and long term) outcomes of the Backhaul Alaska Steering Committee (BASC) and develop a draft operational charter that will be presented to the Steering Committee for approval.	Draft 2/28/2019 Final 3/31/2019	Draft and Final Backhaul Alaska Steering Committee Charter
ANTHC staff will work with SWAT and EPA to identify potential members of the BASC. Staff will call potential new members to extend the invitation and assess interest.	1/31/2019	Steering Committee member list
ANTHC will schedule, host, and facilitate quarterly BASC meetings. ANTHC will work with SWAT and EPA to develop agendas and send out invitations, prepare meeting space for in-person participation, set a teleconference line and webinar capabilities as needed for remote participation. Additional meetings will be organized as needed at the direction of the BASC.	First meeting to be held in February 2019	Meeting schedule Meeting agendas
ANTHC will compile meeting notes that capture concrete recommendations made by the BASC and distribute to the Steering Committee members within two weeks following the meeting.	Two weeks after each meeting	Meeting notes

BUDGET				
Line	Item	Provided By	Amount	Basis/Notes
1	Salary			
2	Program Manager	ANTHC	\$12,762	202 hrs @ \$47.5/hr 33% Fringe (approx 18 hrs/mo)
3	Program Administrator	ANTHC	\$10,680	220 hrs @ \$36.5/hr 33% Fringe (approx 20 hrs /mo)
4	Administrative Assistant	ANTHC	\$6,584	180 hrs @ \$27.5/hr 33% Fringe (approx 16 hrs/mo)
5		Subtotal	\$30,026	
6	Travel			
7	Annual Meeting in Anchorage	ANTHC	\$13,100	Airfare/Lodging/Per Diem/Ground Transportation, 10 people \$1,310/trip
8	Other Direct Costs			
9	Materials & Supplies		\$583	
10	Adobe Webinar / Teleconference		\$1,500	
11		Subtotal	\$2,083	
12				
13	Total Direct Costs		\$45,209	
14	Indirect Costs		\$4,791	10.6% of lines 5,7,11
15				
16	TOTAL		\$50,000	
Additional Notes				
a. Travel costs must follow ANTHC policy and comply with 2 CFR Part 200.				
b. Adobe is considered an information technology system, but the total costs falls under \$5,000, so it is considered a supply under 2 CFR 200.33 & 2 CFR 200.58.				
c. IDC 10.6% is allowable under the most recent nonprofit rate agreement from DHHS dated 4/23/2018.				
Funding				
Line	Source	Award or Reference	Amount	Notes
17	Denali Commission	This Action	\$50,000	
18	Total Funding Available as of This Action		\$50,000	